

# **ASSIGNMENTS**

**DIPLOMA IN FINANCIAL MARKETS**

**DFM- 1st semester**

**For**

**For the students admitted in the Session January, 2026**



**Centre for Distance and Online Education**

**Guru Jambheshwar University of Science & Technology**

**Hisar-125001**

**Compiled by:-**

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**GURU JAMBHESHWAR UNIVERSITY OF SCIENCE & TECHNOLOGY, HISAR  
CENTRE FOR DISTANCE AND ONLINE EDUCATION**

**Programme: Diploma in Financial Markets**

**Course Name: Introduction to Financial Markets in India**

**Semester –**

**1<sup>st</sup> Code: FM-101**

**Total Marks – 30**

**Important Instructions**

- i. All questions are compulsory.**
- ii. Each assignment carries 15 marks.**
- iii. All questions are to be attempted in legible handwriting on plane white A-4 size paper and upload the scanned copy of assignments on student's portal.**

**ASSIGNMENT I**

- Q1. Explain the role of financial markets in promoting investment and industrial growth.
- Q2. Explain the relationship between interest rates and securities markets.
- Q3. Differentiate between money market and capital market.

**ASSIGNMENT II**

- Q1. Explain the process of trading government securities through NDS-OM.
- Q2. Compare Indian stock exchanges with international exchanges.
- Q3. Explain the measures taken by SEBI to prevent unfair trade practices.

**Programme: Diploma in Financial Markets (DFM)**

**Course Name: Security Analysis**

**Code: FM 102**

**Semester: 1st**

**Total Marks=30**

**Important Instructions**

- i. Attempt all questions from each assignment given below.**
- ii. Each assignment carries 15 marks.**
- iii. All questions are to be attempted in legible handwriting on plane white A-4 size paper and upload the scanned copy of the assignments on student's portal.**

**ASSIGNMENT-I**

1. What is Risk? Explain the different types of investment risk.
2. Discuss the importance of risk measurement in investment decisions.
3. Describe the importance of Economic Analysis in investment decisions.

**ASSIGNMENT-II**

1. Explain the pitfalls investors should avoid while investing.
2. Differentiate between Fundamental Analysis and Technical Analysis.
3. Discuss the implications of Random Walk Theory for investors.

**CENTRE FOR DISTANCE & ONLINE EDUCATION**  
**Programme: Diploma in Financial Market (DFM)**

**Course Name: Financial Derivatives**

**Sem: 1st**

**Code: FM 103**

**Total Marks=30**

**Important Instructions**

- i. Attempt all questions from each assignment given below.**
- ii. Each assignment carries 15 marks.**
- iii. All questions are to be attempted in legible handwriting on plane white A-4 size paper and upload the scanned copy of the assignments on student's portal.**

**ASSIGNMENT-I**

1. How can financial derivatives be helpful in hedging, speculation and arbitrage?
2. Define interest rate futures. Discuss the features and types of short term and long term interest rate futures with suitable example.
3. Differentiate between forward contracts and future contracts.

**ASSIGNMENT-II**

1. What are the types of swaps? Explain the major characteristics of interest rate swaps with suitable illustrations.
2. What are derivative securities? Discuss the uses and types of derivatives.
3. Explain the notes on the following:
  - a) Cost of Carry Model
  - b) Butterfly Spread

**CENTRE FOR DISTANCE AND ONLINE EDUCATION**

**Programme: Diploma in Financial Markets (DFM)**

**Course Name: Funds Management**

**Semester: 1st**

**Code: FM 104**

**Total Marks=30**

**Important Instructions**

- i. Attempt all questions from each assignment given below.**
- ii. Each assignment carries 15 marks.**
- iii. All questions are to be attempted in legible handwriting on plane white A-4 size paper and upload the scanned copy of the assignments on student's portal.**

**ASSIGNMENT-I**

4. What is a Mutual Fund? Explain the evolution of Mutual Funds in India.
5. Explain the role of SEBI in Mutual Funds.
6. Write short note on the following:
  - Explain the legal structure of Mutual Funds in India
  - Explain the types of Mutual Fund schemes

**ASSIGNMENT-II**

4. What is KIM (Key Information Memorandum)? Explain about key content of KIM.
5. Differentiate between active and passive investment strategies.
6. Write short note on the following:
  - Explain SID and SAI
  - Write short note on growth and value investing

**GURU JAMBHESHWAR UNIVERSITY OF SCIENCE & TECHNOLOGY, HISAR**  
**CENTRE FOR DISTANCE AND ONLINE EDUCATION**  
**Programme: Diploma in Financial Markets (DFM)**

**Course Name: INTERNATIONAL FINANCIAL MARKETS Semester: 1st**  
**Code: FM 105 Total Marks=30**

**Important Instructions**

- i. Attempt all questions from each assignment given below.**
- ii. Each assignment carries 15 marks.**
- iii. All questions are to be attempted in legible handwriting on plane white A-4 size paper and upload the scanned copy of the assignments on student's portal.**

**ASSIGNMENT-I**

7. Discuss the goals and finance functions of a multinational corporation (MNC).
8. Explain the concept of corporate governance in the international business environment.
9. Explain the functions and structure of the foreign exchange market.

**ASSIGNMENT-II**

1. What are Forward Rate Agreements (FRAs)? Explain their advantages and uses.
2. Explain ADRs and GDRs and distinguish between them.
3. Explain interest rate swaps and currency swaps with suitable examples.